



Sector 8

PATENT  
Docket No. 366482000300

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on April 12, 1999.

  
Jinny Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Geoffrey M. WAHL et al.

Serial No.: 09/229,229

Filing Date: January 12, 1999

For: COMPOSITIONS AND METHODS FOR  
TREATING CELLS HAVING DOUBLE  
MINUTE DNA

Examiner: Unassigned

Group Art Unit: 1643

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under  
37 C.F.R. § 1.53(d) dated February 8, 1999, attached please find:

- ☐ A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e).
  - ☒ (2) Declarations signed by the inventor(s) and the surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e).
  - ☒ (2) Powers of Attorney and Prosecution by Assignee Under 37 C.F.R. § 3.71.
  - ☒ (2) Declarations of Small Entity Status.
  - ☒ (2) Certificates Under 37 C.F.R. § 3.73(b) with copy of Assignments.
  - ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k).
  - ☒ Other: Petition for Extension of Time
- Return Receipt Postcard.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	29 - 20 =	9	x \$18.00	\$162.00
INDEPENDENT CLAIMS	6 - 3 =	3	x \$78.00	\$234.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$260.00
			BASIC FEE	\$760.00
			TOTAL OF ABOVE CALCULATIONS =	\$1416.00
PETITION FOR EXTENSION OF TIME				\$110.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached				\$763.00
SURCHARGE FOR FILING MISSING PARTS				\$65.00
			TOTAL =	\$828.00

☒ A check in the amount of \$828.00 is attached.

☐ Charge \$ to Deposit Account No. 03-1952.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to Deposit Account No. 03-1952.

Respectfully submitted,

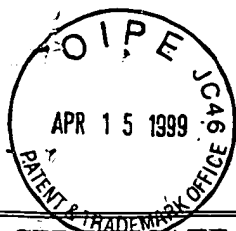
Dated: April 12, 1999

By:



Antoinette F. Konski  
Registration No. 34,202

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5730  
Facsimile: (650) 494-0792



PTO/SB/96 (10-92)

## CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Docket No. 366482000300

In the application of: Geoffrey M. WAHL et al.  
Serial No.: 09/229,229  
Filed: January 12, 1999  
For: COMPOSITIONS AND METHODS FOR TREATING CELLS HAVING DOUBLE MINUTE DNA

NewBiotics, Inc., a California corporation certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From :  
To:  
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

2. From :  
To:  
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

3. From :  
To:  
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

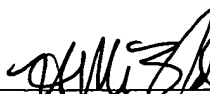
☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 3/9/99

  
Name: S. H. SHEPARD  
Title: CSO, NewBiotics, Inc.